Good LUC, Bad LUC, No Such LUC, Beginner's LUC, Tough LUC, Andrew LUC, and Pot LUC

#### **Dwayne Ford**

USACE Environmental and Munitions Center of Expertise (EM CX)

18 November 2014

NAOC General Membership Meeting





US Army Corps of Engineers
BUILDING STRONG







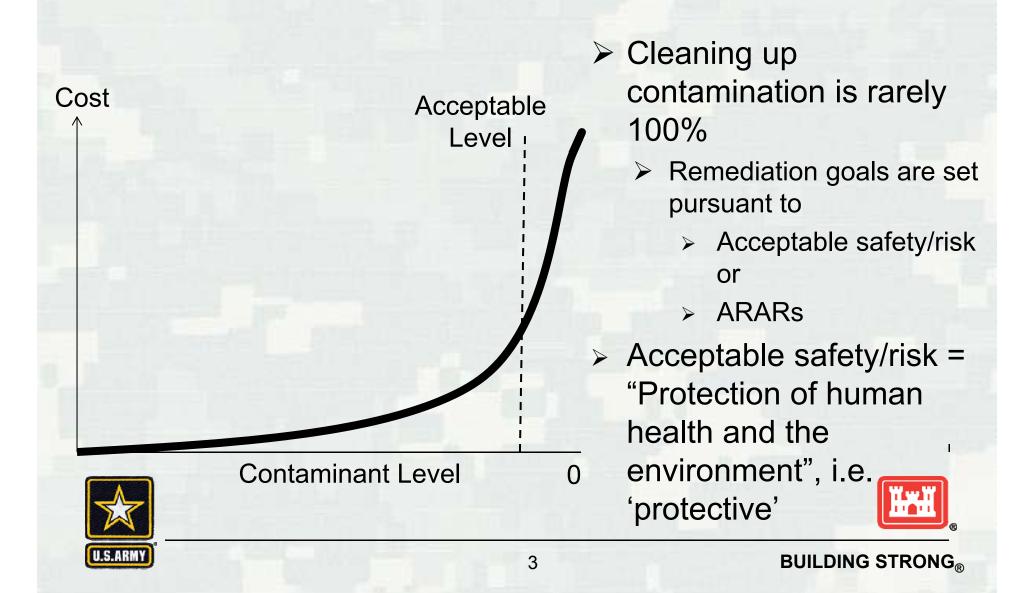
Receptor + Pathway + Hazard = Health Effect

Remediation breaks the equation









- Remedial plan is like a recipe that provides the best remedial options to address the site-specific issues with any remedial site. Each alternative presents a remedial plan for clean-up.
  - Important that the site is fully characterized or determining the best remedial plan is difficult (If you don't know the problem, it is hard to find the solution.)
  - Remedial plan may have one remedial technology/option or it may have many





## Remedial Options

**Treatment** 

best good ok Removal

**LUCs** 





### What are Land Use Controls?

- LUCs = Engineering Controls (ECs) & Institutional Controls (ICs)
- LUCs are a type of remedy
- LUCs protect people (or environment)







- Engineering Controls (ECs) are physical barriers that eliminate or degrade exposure of receptors (people or environment) to contamination.
- Examples fences, soil caps, encapsulation, buildings, berms, locks, burial
- ECs do not reduce the contamination.





- Institutional Controls (ICs) = Governmental Controls, Proprietary Controls, and Educational Controls
- Governmental Controls are those controls within the power of a governmental unit (local, state, Federal) that restrict the interaction of receptors with the contamination
- Examples zoning, well restrictions, permitting, condemnation, transportation restrictions





- Institutional Controls (ICs) = Governmental Controls, Proprietary Controls, and Educational Controls
- Proprietary Controls are those administrative controls within the power of a landowner under state law that restrict the interaction of receptors with the contamination.
- Examples deed restriction, environmental covenant, deed notice, easements, license, transfers, agreements, reservations of rights

- Institutional Controls (ICs) = Governmental Controls, Proprietary Controls, and Educational Controls
- Educational Controls are administrative controls to inform or educate possible receptors of the danger of the contamination so they may voluntarily self-restrict their interaction with the contamination
- Examples signs, pamphlets, briefings, school programs, kiosks, webpages, emails, letters.



- Remedial actions are actions taken to make the site safer for human health and the environment
- Remedial actions interrupt the risk formula in some way (Risk = Contamination + Pathway + Receptor)
- CERCLA includes a preference for removing or decontaminating the contamination





#### From DoDM 4715.20

- DoD Component must consider at least 3 alternatives
  - ▶ No action
  - ▶ Remedial action to allow UU/UE
  - ► Remedial action to a protective condition that requires LUCs





#### From DoDM 4715.20

- Remedial alternatives analyzed using 9 NCP criteria
  - ► Threshold (overall protection, compliance with ARARs)
  - ▶ Balancing (long term effectiveness; reduction of toxicity, mobility, or volume; short term effectiveness; implementability; cost)
  - ▶ Modifying (state; community acceptance)





#### From DoDM 4715.20

- Alternatives evaluated to ensure they are
  - ▶ Efficient
  - ► Environmentally, economically, and fiscally sound
  - ► Consider sustainable practices
  - Reduce footprint of remediation system on environment





- Remedial Action Objectives help define protectiveness
  - ➤ Who are we protecting?
  - ▶ What are we protecting them from?
  - ▶ What are they doing which would cause them to be exposed?
  - ▶ What are acceptable contaminant levels?
- Robust RAOs are key to developing and evaluating remedial alternatives





- LUCs must be evaluated as rigorously as any other remedy
  - ► Must be specific enough to allow evaluation
  - ► Are not a default 'because something might be out there' measure
  - ► Do not necessarily continue in perpetuity
  - ► 5-year reviews are not LUCs





### **Land Use Controls Issues**

- Pot LUC
- Beginner's LUC
- No Such LUC
- Tough LUC
- Bad LUC





### Pot LUC

# "Alternative 4 is comprised of surface removal of MEC and LUCs"

- Remember...
  - LUCS = Engineering Controls & Institutional Controls (Governmental Controls, Proprietary Controls, and Educational Controls)
- What are we talking about here?
- How can this alternative be evaluated in a meaningful way?
- What will we be committing to?
- It isn't a pot LUC dinner; a level of specificity is essential

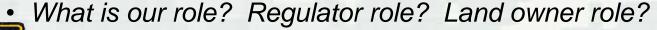




## Beginner's LUC

"Possible LUCs used in this alternative include dig permits, zoning restrictions, signage, and safety education."

- This might be successful in achieving protectiveness, but...
- What does it take to make these LUCs happen?
  - Who has authority to take these actions?
  - Who is responsible for implementation?
  - Who pays for what?
  - When is it implemented?
  - Who performs maintenance and inspection?







## Beginner's LUC

- Can't count on beginner's LUC for success
- Need to conduct an Institutional Analysis

"The objectives of the institutional analysis are to illustrate the opportunities that exist to implement an institutional control program at a specific site; identify government agencies having jurisdiction over OE contaminated lands; and assess the appropriateness, capability and willingness of government agencies to assert their control over OE contaminated lands. Authority exercised by the agency within its jurisdiction." EP 1110-1-24

 IA should be a primary tool for evaluating alternatives in the FS for "Implementability"





### No Such LUC

- Construction support ≠ LUC
- Interim Risk Management ≠ LUC
- Five-Year Reviews # LUC
- Do not confuse these efforts with LUCs





## Tough LUC

"Remedies such as fencing, deed restrictions, and signage can be found in the Proposed Plan under the Institutional Controls heading."

- NCP says remedy must be defined enough to do a "detailed analysis" of the alternative, including a cost estimate
- Does not have to have every detail listed
- But should specify the LUCs proposed
- Must answer the Who, What, Where, When, and How (and how much)
- Remember, the DD is a <u>commitment</u>
- Developing and analyzing LUCs in sufficient detail to support objective decision making is tough, but don't keep kicking the LUC can down the road



### **Bad LUC**

- "This remedy includes deed restrictions and a change in zoning for this area to restrict digging in this property. The city will be notified of this requirement after the DD is signed."
- "Finally, once all the MEC is removed, appropriate LUCs will be implemented to restrict use to recreational uses."
- "We have determined there is no unacceptable risk at this site. We can close by implementing LUCs."
- "LUCs will be maintained until the site's risk drops to unlimited use and unrestricted access as required by CERCLA."
- No other way to say it these are just bad LUC
  - Bad LUC like these will continue to plague the project

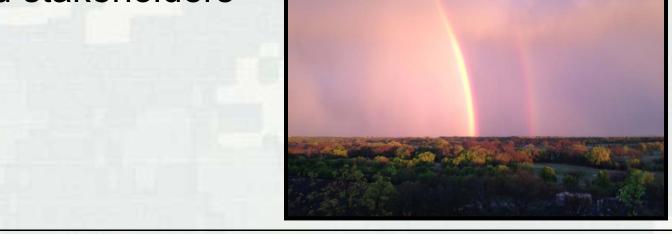


### **Good LUC**

- Are specific and well defined remedies
- Are constructed to achieve specific and well defined Remedial Action Objectives
- Are supported by a robust Institutional Analysis

Are coordinated with the PDT (especially OC)

and stakeholders





### Reference Documents

- EP 1110-1-24 (updated 15 Dec 2000)
- ER 200-3-1 (10 May 2004) Section 4-9.2
- DoDM 4715.20 (9 Mar 2012) DERP Management
- NCP 40 CFR 300.430 and 300.435 (1 Jan 2009)





### Questions?

